

Docket No. 2016-4010US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : BANNAN et al.

Serial No. : 09/335,581

Group Art Unit: 1641

Filed : June 18, 1999

Examiner: TBA

For : **PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK**

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

1. Certificate of Mailing
2. Response to Notice to Filing Missing Parts of Application
3. NOTICE TO FILE MISSING PARTS OF APPLICATION, including check for \$65.00 (small entity)
4. Executed Declaration of Jason D. BANNAN and executed Declaration of Kumar VISVANATHAN and John B. ZABRISKIE
5. RETURN RECEIPT POSTCARD

The Commissioner is hereby authorized to charge any additional fees which may be required by these papers, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2016-4010US2. A DUPLICATE COPY OF THIS DOCUMENT IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 12, 1999

Mailing Address:

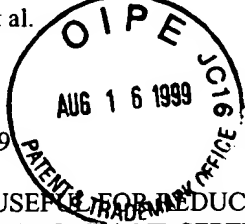
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

By: Mary J. Morry  
Mary J. Morry  
Registration No. 34,398

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CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

ASSISTANT COMMISSIONER FOR PATENTS  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

I hereby certify that the attached

1. Transmittal Letter (2 copies)
2. Response to Notice to Filing Missing Parts of Application
3. NOTICE TO FILE MISSING PARTS OF APPLICATION, including check for \$65.00 (small entity)
4. Executed Declaration of Jason D. BANNAN and executed Declaration of Kumar VISVANATHAN and John B. ZABRISKIE
5. RETURN RECEIPT POSTCARD

paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: August 12, 1999

By: \_\_\_\_\_

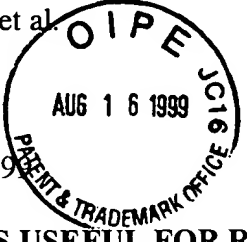
  
Mary J. Morry  
Registration No. 34,398

CORRESPONDENCE ADDRESS:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile  
FORM: CERTMAIL.NY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : BANNAN et al.  
Serial No. : 09/335,581  
Filed : June 18, 1999  
For : **PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK**

Group Art Unit: 1641  
Examiner: TBA



RESPONSE TO NOTICE TO FILING MISSING PARTS OF APPLICATION

ASSISTANT COMMISSIONER FOR PATENTS  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed July 22, 1999, submitted herewith are two executed declarations, signed by the named inventors, together with the Fee of \$65.00 for a small entity (small entity statements were filed on July 20, 1999), as well as a copy of the Notice itself.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 12, 1999  
Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800

By: Mary J. Morry  
Mary J. Morry  
Registration No. 34,398

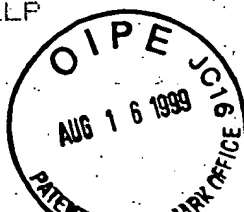
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/335,581	06/18/99	BANNAN	J 2016-4010US2

MORGAN AND FINNEGAN LLP  
1775 EYE STREET  
SUITE 400  
WASHINGTON DC 20006



NOT ASSIGNED

1641

DATE MAILED: 07/22/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 150.00

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

08/18/1999 SDUONG 00000096 134500 09335581  
01 FC:105 65.00 CH 65.00 DP

late: 08/18/1999 SDUONG  
1999 SDUONG 00000095  
:205

1/18/1999 SDUONG

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